

SHARON Y. BOWEN



TO REQUEST CONTACT INFORMATION FOR THIS INDIVIDUAL, PLEASE
EMAIL SHAWN TAYLOR KAMINSKI: SKAMINSKI@DIRECTWOMEN.ORG

BUSINESS EXPERIENCE

Intercontinental Exchange, Inc. (NYSE:ICE). Director, December 2017-Present

Ms. Bowen joined the Intercontinental Exchange, Inc. (ICE) Board of Directors in December 2017. She also serves on the boards of certain of its affiliates, including the New York Stock Exchange and Bakkt. ICE is a Fortune 500 and Fortune Future 50 company that builds, operates and advances global markets through information, technology and expertise. It serves customers by operating exchanges, clearing houses and information services for them to invest, trade and manage risks across global financial and commodity markets. A leader in market data, ICE Data Services information and connectivity needs across virtually all asset classes. As the parent company of the New York Stock Exchange, the company raises more capital than any other exchange in the world, driving economic growth and transforming markets.

Neuberger Berman Group LLC. Director, September 2019-Present

Ms. Bowen joined the Neuberger Berman Board of Directors in September 2019. She also serves on its Audit Committee. Neuberger Berman is a private, 100% independent, employee-owned investment manager. From 35 offices worldwide, the firm manages a range of strategies, including equity, fixed income, quantitative and multi-asset class, private equity, real estate and hedge funds on behalf of institutions, advisors and individual investors globally.

Seneca Women. Partner, March 2018-Present

Ms. Bowen is a partner at Seneca Women, a global leadership platform dedicated to connecting and advancing women in the economy. Its Seneca Connect app and Seneca Women Podcast Network amplify the voices of women thought leaders and provide the tools, resources and network that women need as drivers of the economy. She is a frequent speaker and advisor to corporations and financial institutions.

Commodity Futures Trading Commission (CFTC). Commissioner, June 2014-September 2017

Ms. Bowen was confirmed by the United States Senate, appointed by President Obama, and sworn in as a Commissioner of the U. S. Commodity Futures Trading Commission on June 9, 2014. The mission of the CFTC is to foster open, transparent, competitive, and financially sound markets. The CFTC aims to protect market participants and the public from fraud, manipulation, abusive practices and systematic risks related to derivatives. The CFTC historically has had regulatory authority over the commodity futures markets, including agricultural commodities, such as wheat, corn and cotton, and energy and metals commodities, such as crude oil, heating oil, gasoline, copper, gold and silver. It also oversees financial products, such as interest rates, stock indexes, credit default swaps and foreign currency. In the aftermath of the 2008 financial crisis, President Obama and Congress charged the CFTC with reforming the unregulated swaps market with regulatory oversight of the \$400 trillion swaps market.

While a Commissioner, Ms. Bowen served as Sponsor of the CFTC Market Risk Advisory Committee (MRAC), an advisory committee established under her leadership in 2015. Its members were comprised of significant market participants, clearinghouses, exchanges, academics, end-users and public interest representatives. MRAC held eight public meetings which addressed significant market risk and market structure issues including cybersecurity, market liquidity, portfolio compression, and inter-regulatory cooperation in central counterparty (CCP) resolution.

As a Commissioner, Ms. Bowen has had extensive international engagement with central banks, regulators and market participants across the globe.

Securities Investor Protection Corporation (SIPC). Acting Chair, March 2012-May 2014; Vice Chair, February 2010-February 2012

Ms. Bowen was confirmed by the United States Senate and appointed by President Obama on February 12, 2010 to serve as Vice Chair of the Securities Investor Protection Corporation (SIPC). She assumed the role of Acting Chair in March 2012. Ms. Bowen served on the Audit and Compensation Committees. SIPC was created under the Securities Investor Protection Act of 1970 to protect customers against certain types of losses resulting from the failure of a broker-dealer.

Latham & Watkins LLP. Senior Associate and Partner, 1988-2014

Ms. Bowen was a corporate partner in the New York office of Latham & Watkins LLP, a leading global law firm. She joined Latham as a senior corporate associate in the summer of 1988 and became a partner January 1991. Ms. Bowen retired from the partnership in 2014 to be appointed Commissioner of the CFTC.

Ms. Bowen's practice involved corporate, finance and securities transactions for large global corporations and financial institutions, including mergers and acquisitions, private equity, securities offerings, strategic alliances, corporate restructurings, leveraged finance, securitization, distressed debt and asset acquisitions and venture capital financings. She also has extensive expertise in corporate governance, Sarbanes-Oxley, Dodd-Frank, e-commerce, and mobile and digital payment systems.

Ms. Bowen served in several leadership positions at the firm, including co-chair of the Diversity Committee, co-chair of the Diversity Hiring Subcommittee and co-founder and head of Latham's Women Enriching Business (WEB) Task Force, whose mission is to create broader networks and productive business development relationships for women.

Davis, Polk & Wardwell LLP. Corporate Associate, 1982-1988

Represented major corporations, investment banks and commercial banks on numerous transactions, including representations on the largest financial underwritings and leveraged-buyouts at the time.

Chicago Board of Trade. Summer Intern, 1980

Goldman Sachs & Co. Summer Intern, 1979

FORMER BOARDS

- Economic Development Corporation of the City of New York: Executive Committee (2005 to 2014), Corporate Governance Committee (2007 to 2014) and Board member (2002 to 2014)
- UrbanAmerica, Inc., a large private REIT (1992 to 2014)
- New York Lawyers for the Public Interest: Chair (2004 to 2006), Executive Committee (1995 to 2011), Board member (1992 to 2010), and Emeritus Board member (2010 to 2014)
- Northwestern University Law Board: Chair (2008 to 2010), First Vice Chair (2006 to 2008), Executive Committee (2000 to 2011), and Board member (1998 to 2014)

PRIOR BAR ASSOCIATION LEADERSHIP ROLES

- Bar Association of the City of New York: Committee on Corporations (extensive involvement in drafting of LLC statute of New York); Committee on Recruitment and Retention; Committee on Professional Responsibility (involvement in changes in code of ethics of New York Bar)
- New York State Bar: Committee on Minorities in the Profession; House of Delegates
- The American Bar Association: House of Delegates and Conference of Minority Partners at Majority Firms; ABA Fellow

SPECIAL AWARDS AND RECOGNITIONS

- Northwestern Pritzker School of Law Class of 2018 Convocation Address
- Northwestern Black Law Student Association 2018 Professor Joyce Hughes Alumni Award
- Operation Rainbow PUSH Coalition & Citizenship Education Fund's 20th Annual Wall Street Project Economic Summit 2017 Titans Luncheon Award
- Northwestern Student Funded Public Interest Fellowship Program 2017 Distinguished Alumni Award
- 2013 National Association of Corporate Directors, Directorship 100, honoring the most influential people in Corporate Governance and the Boardroom
- New York State Bar Association 2011 Diversity Trailblazer Award
- The Leadership Institute for Women of Color Attorneys, Inc. 2011 Breaking the Glass Ceiling Award
- National Organization of Women New York City Women of Power & Influence Award 2010
- ABA DirectWomen Institute Class of 2008
- Metropolitan Black Bar Association's 2006 "Lawyer of the Year"
- New York City Bar Association's 2007 Diversity Champion
- Practicing Attorneys for Law Students, Inc. (PALS) 2009 Service Award
- Whitney M. Young, Jr. 2007 Service Award from the Greater New York Councils of the Boy Scouts of America
- One of America's top Black lawyers selected by Black Enterprise Magazine.
- Association of Black Women Attorneys' 2005 Ruth Whitehead Whaley Professional Achievement Award
- PENCIL 2004 Partnership Award given to businesses, individuals and principals who have demonstrated outstanding commitment to New York City public schools

EDUCATION

- Kellogg School of Management, MBA, 1982
- Northwestern University Law School, JD, 1982 (Managing Editor, The Northwestern Journal of International Law and Business)
- University of Virginia, BA, Economics, 1978, with distinction