

STEPHANIE LUCIE



TO REQUEST CONTACT INFORMATION FOR THIS INDIVIDUAL, PLEASE
EMAIL SHAWN TAYLOR KAMINSKI: SKAMINSKI@DIRECTWOMEN.ORG

PROFILE

Highly accomplished executive with more than 30 years of legal and business experience. Currently serve on the board of directors of Consortia Health Holdings, Inc. as well as a non-profit organization; former general counsel, corporate secretary and trusted advisor to numerous boards of directors of both publicly and privately held companies. Extensive experience in mergers, acquisitions and divestitures, venture capital, IPOs, and equity and debt financings. Also expertise in corporate governance, controlling shareholder environments, domestic and international human resources, risk management, investor relations, regulatory compliance, sales/marketing, and creation/enforcement of compliance programs. Served as internal counsel on hundreds of transactions, including integrating businesses. Received offers to transfer to business side of organizations on several occasions as a result of business judgment.

EXPERIENCE

AUSTIN VENTURES, Austin, TX

2010 – current

Former General Counsel and Chief Compliance Officer, currently represent as outside counsel

Significant involvement in M&A activities of portfolio companies, as well as hundreds of equity and debt financings, with transactions ranging from \$100,000 to over \$100 million

ENTORIAN TECHNOLOGIES INC. (FORMERLY NASDAQ: ENTN), Austin, TX

2003 – 2010

Senior Vice President, General Counsel and Corporate Secretary

An Austin Ventures portfolio company. AV executives asked me to join AV following adoption of Dodd Frank and winding down Entorian

- Internal counsel for IPO, as well as an acquisition, including integration of the businesses; reviewed and worked on other acquisitions that did not close
- Also served as Vice President of Human Resources and handled Facilities
- Instrumental in licensing IP portfolio; negotiated license agreements significant to company's revenue
- Introduced new online HR programs and policies to streamline and increase efficiencies
- Structured and implemented comprehensive compliance program in the U.S. and Mexico

CIRRUS LOGIC, INC. (NASDAQ: CRUS), Austin, TX

2001 – 2003

Vice President, Associate General Counsel and Corporate Secretary

- Internal counsel for the acquisition of several strategic start-up companies with technologies in video decoding, video encoding, wireless networking, and networked digital audio
- Responsible for corporate legal affairs, including but not limited to supporting the Board of Directors, SEC, NASD and general regulatory reporting and compliance, investor relations, domestic and international HR issues, litigation management and risk management

ALTA VISTA COMPANY, Palo Alto, CA

1999 – 2001

Vice President, General Counsel and Corporate Secretary

- Internal counsel for IPO (terminated day prior to pricing), two acquisitions and integration of the businesses
- Member of first management team in spinoff from Digital Equipment Corporation following its acquisition by Compaq Computer Corporation. Company grew from 50 to 1400 employees; legal department from one to 14 during my tenure

COMPAQ COMPUTER CORPORATION, Houston, TX

1995 – 1999

Vice President and Associate General Counsel

- Instrumental in numerous marquee acquisitions, such as Tandem Computers, Microcom and Digital Equipment Corporation, in addition to several smaller acquisitions
- Promoted to General Counsel of AltaVista following Compaq's acquisition of Digital Equipment Corporation

STEPHANIE LUCIE

WEIL GOTSHAL & MANGES, Houston, TX
Corporate Attorney

1993 – 1995

WINSTEAD PC, Houston, TX
Corporate Attorney

1990 – 1993

BROWN & WOOD, New York, NY
Corporate Attorney

1987 – 1990

At three firms listed above, worked on teams representing major corporations and financial institutions handling M&A, public offerings and private placements, securities and complex credit facilities

BOARD SERVICE AND TRAINING

Director, Consortia Health Holdings, Inc. – privately held company specializing in addressing pelvic floor disorders, including incontinence and other chronic health issues. Joined Board in 2016. Assist with strategic direction and expansion, fundraising, among other issues.

Board of Trustees, St. Michael's Catholic Academy – member of Executive Committee and Secretary of private independent high school in Austin, Texas. Also Chair of Corporate Governance Committee. 2016-present

Former Director, Board of Directors, **SafePlace**, a non-profit organization that assists abused spouses and their children

Former Director, Board of Directors, **LifeWorks**, a non-profit organization that transitions youth from crisis to safety by providing housing, counseling and services

Founding Board Member and Past Vice President, Austin chapter of the **Association of Corporate Counsel (ACC)**, the world's largest professional association for in-house lawyers

DirectWomen Board Institute. Class of 2015 – annual program designed to position an elite group of senior women lawyers for service on the boards of major U.S. corporations

Kellogg School of Management Women's Director Development Program. Class of 2008

National Association of Corporate Directors, member and past speaker

Past President, Houston Young Lawyers Association - as well as serving in other board capacities over a period of three years prior to serving as President

Served as **Corporate Secretary** to the Boards of Directors of publicly traded and private companies for over 10 years, including acting as Secretary of the Audit, Compensation and Governance Committees

Advisory Council, Women@Austin

EDUCATION

Juris Doctor, Law, 1987

Duke University School of Law, Durham, NC
Editorial Board, *Duke Law Journal*

Bachelor of Arts, *Magna Cum Laude*, 1984
University of Notre Dame, South Bend, IN
Graduated *Phi Beta Kappa*